

(RESEARCH ARTICLE)



## Fluoxetine for non-arteritic anterior ischemic optic neuropathy: A double-blind, randomized clinical trial

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### Abstract

**Introduction:** Fluoxetine raises the levels of BDNF (brain-derived neurotrophic factor). BDNF is known to improve neurogenesis and plasticity, so it seems to improve Anterior Ischemic Optic Neuropathy (AION). The goal of this study was to find out how Fluoxetine affects the clinical outlook of people with AION.

**Methods:** In this double-blind, placebo-controlled, randomized clinical trial, patients with AION split into two groups: the fluoxetine group (n=50), which took 20 mg of Fluoxetine every day, and the control group (n=50), which took a placebo pill instead. Both groups were followed for six months. Before and after the trial, patients were given clinical and non-clinical evaluations.

**Results:** 100 people took part in this study and were evaluated. Subjects in the Fluoxetine group had better visual acuity than those in the placebo group. They had lower scores on the LogMAR scale (P: 0.008 and 0.002), better MD parameters of perimetry (P: 0.003 and 0.002), and shorter VEP latencies (P (in 1st minute): 0.001 and 0.001, P (in 15th minute): 0.038 and 0.011. After the trial of Fluoxetine therapy, there were no changes in color vision, Rnfl in all dimensions, PSD parameter of perimetry, or VEP amplitudes (Ps> 0.05).

**Conclusion:** Fluoxetine showed promise as a therapy for people with AION, and it was safe to use as a treatment option in addition to corticosteroids

**Keywords:** Non-Arteritic Anterior Ischemic Optic Neuropathy (NAION); Fluoxetine; Selective Serotonin Reuptake Inhibitor (SSRI); Brain-Derived Neurotrophic Factor (BDNF); Neuro-regeneration

### 1. Introduction

Brain-derived neurotrophic factor (BDNF) is believed to play a prominent role in the augmentation of neuronal repair, synaptic plasticity, and re-establishment of axonal interactions in the central nervous tissues, including the retina; where its concentration increases in case of neurodegenerative disorders involving the optic nerve [1],[2][3][4]. Fluoxetine, a selective serotonin reuptake inhibitor (SSRI), can potentially increase plasma levels of BDNF [5]. Fluoxetine therapy improves functional outcomes, motor performance, Poststroke Anxiety, Depression, and Cognitive Impairment and decreases three-year recurrence in ischemic stroke by potentiating neuroplasticity and neurogenesis [6-11]. Fluoxetine has also shown the potential to improve the clinical outcome and prognosis in patients with multiple sclerosis (MS) [12]. It has also improved cognitive performance in patients with vascular dementia [13]. Some reports

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indicate Fluoxetine can improve the vision in patients with Amblyopia, likely by improving neuroplasticity in the visual cortex [14].

However, the main mediator of neurogenesis by Fluoxetine is BDNF [5],[15-17]. Lauterio et.al. Reported an increase in IGF-2 by Fluoxetine as an alternate effect [18].

IGF-1 and IGF-2 are members of seven IGF receptor binding proteins family. They are somewhat identical in action with differences in the stages of growth they support. While IGF-2 is primarily responsible for fetal development and likely neuroregeneration [19], IGF-1 maintains accelerated growth. IGF-1 as a neuroprotectant, is involved in neurogenesis and neurotransmission. Lower concentrations of IGF-1 are associated with higher mortality and morbidity in stroke patients; IGF-1 is a prognostic factor and an indicator of stroke outcome. Exogenous administration of IGF-1 in stroke animal models resulted in improved functional outcomes [20].

Yamada et. al. reported that the survival-promoting effect of BDNF is much weaker than that of IGF in serum deprivation-induced death of cultured cortical neurons. On the other hand, they also stated that phosphorylation signals of mitogen-activated protein kinase (MAPK) and cAMP-responsive element-binding protein (CREB), which had also been reported to be involved in survival promotion, had been stimulated by BDNF much more potently than by IGF-1[21]. Lindholm et.al. Indicated the complementary action of IGF-1 and BDNF to each other; in their study, “BDNF and IGF-1 both had increased the survival of the hippocampal neurons lacking BDNF, showing complementary action for these factors in supporting survival” [22].

NAION is a hypoxic event in the optic nerve head that its final consequence is the loss of retinal ganglion cells (RGC) and so the vision. A pathophysiologic cascade from hypoxia to inflammation, apoptosis and RGC loss has been proposed for AION; each part of it has been the target for NAION treatment in various studies. Unfortunately, most of these studies had unsatisfactory outcomes. Knowing that Fluoxetine has proper pharmacologic distribution into the retina [23], and considering the promising neurodegenerative effect of this drug, this study was designed to evaluate the effect of treatment with Fluoxetine in long-term prognosis of patients with NAION.

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## 2. Material and methods

This double-blinded randomized clinical trial was aimed to assess the effects of Fluoxetine on the clinical prognosis of patients with non-arteritic Anterior Ischemic Optic Neuropathy (NAION). The study population consisted of non-arteritic AION patients referred to Rasool Akram Hospital, Ophthalmology Clinic. Eligible participants were asked to sign the consent form to participate in the study. Inclusion criteria were patients diagnosed with NAION with an age of 18 years old and above while the exclusion criteria were over 3 weeks of latency from the beginning of symptoms, visual impairment correlated to other diseases but not due to AION, any contraindication or drug interaction for Fluoxetine such as pregnancy, history of using psychiatric drugs with the inclusion of Fluoxetine, and any history of eye trauma or any surgical interventions other than uncomplicated cataract extraction more than 6 months before the attack. Patients were also excluded if features of arteritic AION were present.

All the subjects underwent complete history taking and ocular examination including visual acuity (Snellen chart), slit lamp examination and funduscopy, and IOP measurement (Goldmann applanation tonometer). Pattern Visual evoked potential (VEP, EvokedX NextGen icVEP) was assessed in every patient based on the ISCEV 2016 protocol [24]. Humphrey Field Analyzer II performed a central 24-2 Visual field assessment with the Swedish Interactive Threshold Algorithm (SITA; Carl Zeiss Meditec, Inc., Dublin, CA) as well as Peripapillary retinal nerve fiber layer (RNFL) thickness measurement which was measured using a spectral-domain optical coherence tomography (Spectralis HRA+OCT, Heidelberg Engineering, Germany). Subjects that met the inclusion criteria were randomly assigned into two groups; the Fluoxetine group were given Fluoxetine capsule 20mg daily for six months and the control group who received placebo. All the clinical and para-clinical evaluations were reperformed after 6 months of fluoxetine therapy.

Both patients and investigators were unaware of the type of medication received by patients to provide double-blinding of the trial. Block randomization using 25 quaternary blocks was used. Concealment was preserved by placing patients in the study groups according to the order of the randomization list.

### 2.1. Analysis

Statistics of quantitative data are presented by means and standard deviations or median and interquartile ranges and qualitative data are presented by frequencies. For comparison of quantitative variables between study groups, student independent samples T-test or its non-parametric equivalent, Mann-Whitney U test was used while compared T-test or

its non-parametric equivalent, Wilcoxon test was used in order to compare the results before and after treatment in each group. For comparison of parameters between study groups, possible confounding biases by baseline characteristics were addressed by covariance analysis (Bootstrapping for non-parametric data). The sample size was determined in 45 cases in each group using G power by considering the effect size  $d : 0.6$ , alpha error equal to 5 %, and power equal to 80%. 10 percent lost to follow-up was predicted and 50 patients in each of the two study groups was considered. A P-value equal to or less than 0.05 was considered statistically significant. IBM statistics SPSS version 22 was used for obtaining statistical analysis.

### 3. Results

**Table 1** Descriptive statistics of baseline parameters and their comparison between study groups

Parameter (#\%)		All cases	Study Group		P-value <sup>a</sup>
			Drug	Placebo	
Age (Mean $\pm$ SD)		58.62 $\pm$ 12.13	56.68 $\pm$ 11.24	60.56 $\pm$ 12.79	0.110 <sup>b</sup>
Sex	Male	64 (64%)	36 (72%)	28 (56%)	0.096 <sup>c</sup>
	Female	36 (36%)	14 (28%)	22 (44%)	
Profession	Labor	56 (56%)	30 (60%)	26 (52%)	0.420 <sup>c</sup>
	clerical	44 (44%)	20 (40%)	24 (48%)	
Smoking	Smoker	33 (33%)	21 (42%)	12 (24%)	0.056 <sup>c</sup>
	Non-smoker	67 (67%)	29 (58%)	38 (76%)	
Alcohol	Drinker	13 (13%)	7 (14%)	6 (12%)	0.766 <sup>c</sup>
	Non-drinker	87 (87%)	43 (86%)	44 (88%)	
Opium	Abuser	11 (11%)	7 (14%)	4 (8%)	0.338 <sup>c</sup>
	Non-abuser	89 (89%)	43 (86%)	46 (92%)	
First presentation	Pain	11 (11%)	5 (10%)	6 (12%)	0.749 <sup>c</sup>
	Vision loss	89 (89%)	45 (90%)	44 (88%)	
Family Hx of AION	Positive	20 (20%)	12 (24%)	8 (16%)	0.317 <sup>c</sup>
	Negative	80 (80%)	38 (76%)	42 (84%)	
HTN Hx	Positive	34 (34%)	16 (32%)	18 (36%)	0.673 <sup>c</sup>
	Negative	66 (66%)	34 (68%)	32 (64%)	
DM Hx	Positive	42 (42%)	25 (50%)	17 (34%)	0.105 <sup>c</sup>
	Negative	58 (58%)	25 (50%)	33 (66%)	
IHD Hx	Positive	29 (29%)	17 (34%)	12 (24%)	0.271 <sup>c</sup>
	Negative	71 (71%)	33 (66%)	38 (76%)	
CVA Hx	Positive	2 (2%)	0 (0%)	2 (4%)	0.495 <sup>d</sup>
	Negative	98 (98%)	50 (100%)	48 (96%)	
HLP Hx	Positive	23 (23%)	11 (22%)	12 (24%)	0.812 <sup>c</sup>
	Negative	77 (77%)	39 (78%)	38 (76%)	

Hx: History, AION: Anterior Ischemic Optic Neuropathy, HTN: Hypertension, DM: Diabetes Mellitus, IHD: Ischemic Heart Diseases, TIA: Transient Ischemic Attack, CVA: Cerebrovascular accidents, HLP: Hyperlipidemia; a: comparison between study groups; b: Independent samples T-test; c: Chi-square test d: Fischer exact test

**Table 2** Descriptive statistics of clinical parameters and comparison between study groups

Parameter (Mean ± SD)		Study Group		P-value <sup>a</sup>	P-value <sup>b</sup>	P-value <sup>c</sup>	
		Drug	Placebo				
VA	1 <sup>st</sup> visit	0.95 ± 0.29	1.03 ± 0.27	0.312 <sup>d</sup>	0.008 <sup>e</sup>	0.132 <sup>e</sup>	
	End of 6 <sup>th</sup> month	0.78 ± 0.33	0.97 ± 0.21	0.002 <sup>d</sup>			
VA improvement	< 0.2	43	40	0.424 <sup>h</sup>			
	= or > 0.2	7	10				
VA improvement	< 0.1	39	32	0.123 <sup>h</sup>			
	= or > 0.1	11	18				
Perimetry MD	1 <sup>st</sup> visit	- 23.55 ± 4.86	- 22.07 ± 5.33	0.171 <sup>f</sup>	0.003 <sup>g</sup>	0.801 <sup>g</sup>	
	End of 6 <sup>th</sup> month	- 20.07 ± 3.53	- 22.87 ± 4.75	0.002 <sup>f</sup>			
Perimetry PSD	1 <sup>st</sup> visit	8.25 ± 2.81	8.69 ± 3.52	0.669 <sup>d</sup>	0.217 <sup>g</sup>	0.076 <sup>g</sup>	
	End of 6 <sup>th</sup> month	6.74 ± 3.08	8.04 ± 2.69	0.093 <sup>f</sup>			
Rnfl	Nasal	1 <sup>st</sup> visit	106.76 ± 38.11	105.61 ± 42.09	0.896 <sup>f</sup>	0.732 <sup>g</sup>	0.759 <sup>e</sup>
		End of 6 <sup>th</sup> month	108.63 ± 33.14	104.71 ± 34.82	0.604 <sup>f</sup>		
	Superonasal	1 <sup>st</sup> visit	95.05 ± 35.06	91.80 ± 31.84	0.661 <sup>f</sup>	0.139 <sup>g</sup>	0.112 <sup>e</sup>
		End of 6 <sup>th</sup> month	105.65 ± 29.67	103.48 ± 41.26	0.383 <sup>d</sup>		
	Superotemporal	1 <sup>st</sup> visit	111.79 ± 36.23	114.88 ± 32.89	0.685 <sup>f</sup>	0.678 <sup>g</sup>	0.403 <sup>e</sup>
		End of 6 <sup>th</sup> month	107.80 ± 30.27	108.88 ± 43.32	0.666 <sup>d</sup>		
	Temporal	1 <sup>st</sup> visit	117.12 ± 36.23	116.68 ± 36.64	0.957 <sup>f</sup>	0.662 <sup>e</sup>	0.301 <sup>e</sup>
		End of 6 <sup>th</sup> month	111.63 ± 31.56	105.95 ± 39.46	0.186 <sup>d</sup>		
	Inferotemporal	1 <sup>st</sup> visit	106.40 ± 36.12	117.63 ± 41.31	0.191 <sup>f</sup>	0.940 <sup>g</sup>	0.566 <sup>e</sup>
		End of 6 <sup>th</sup> month	106.08 ± 32.56	113.21 ± 42.64	0.714 <sup>d</sup>		
	Inferonasal	1 <sup>st</sup> visit	116.38 ± 39.93	127.41 ± 46.84	0.251 <sup>f</sup>	0.534 <sup>e</sup>	0.124 <sup>e</sup>
		End of 6 <sup>th</sup> month	107.00 ± 32.93	112.83 ± 46.75	0.864 <sup>d</sup>		
	VEP latency 1 minute	1 <sup>st</sup> visit	108.28 ± 14.67	109.51 ± 9.39	0.205 <sup>d</sup>	< 0.001 <sup>e</sup>	0.121 <sup>e</sup>
		End of 6 <sup>th</sup> month	97.72 ± 18.05	112.68 ± 16.88	<0.001 <sup>f</sup>		
	VEP amplitude 1 minute	1 <sup>st</sup> visit	7.02 ± 1.99	7.17 ± 2.16	0.799 <sup>d</sup>	0.409 <sup>e</sup>	0.079 <sup>e</sup>
		End of 6 <sup>th</sup> month	6.82 ± 3.32	6.16 ± 2.89	0.292 <sup>f</sup>		
VEP latency 15 minutes	1 <sup>st</sup> visit	120.90 ± 12.42	119.83 ± 19.26	0.912 <sup>d</sup>	0.038 <sup>e</sup>	0.160 <sup>e</sup>	
	End of 6 <sup>th</sup> month	101.95 ± 23.10	114.94 ± 26.59	0.011 <sup>f</sup>			
VEP amplitude 15 minutes	1 <sup>st</sup> visit	5.49 ± 3.56	5.75 ± 2.57	0.138 <sup>d</sup>	0.907 <sup>e</sup>	0.189 <sup>e</sup>	
	End of 6 <sup>th</sup> month	6.56 ± 3.07	6.41 ± 2.91	0.749 <sup>f</sup>			
IOP	1 <sup>st</sup> visit	14.74 ± 1.74	14.56 ± 1.75	0.660 <sup>d</sup>	0.967 <sup>e</sup>	0.353 <sup>e</sup>	
	End of 6 <sup>th</sup> month	13.92 ± 1.45	13.86 ± 1.62	0.855 <sup>d</sup>			

a: Compare between Drug and Placebo study groups; b: Compare between before and after of parameters between Drug group subjects; c: Compare between before and after of parameters between Placebo group subjects; d: Mann-Whitney U test; e: Wilcoxon test; f: independent samples T-test; g: Paired samples T-test; h: Chi-square

One hundred patients with Anterior Ischemic Optic Neuropathy (AION) were enrolled 50 in each of the two study groups from August 2019 to December 2020 (64 males and 36 females) with a mean age of  $58.62 \pm 12.13$  years old. Demographic data are represented in table 1.

Patients in both groups were equal in baseline characteristics such as age, sex, occupation (laborer or clerical jobs), history of smoking, alcohol abuse, opium abuse, hypertension (HTN), Diabetes Mellitus (DM), ischemic heart diseases (IHD), Cerebrovascular Accidents (CVA) and hyperlipidemia (P-values > 0.05) [Table 1].

Vision loss was the initial presentation of AION in 89% of patients while 11% of patients expressed globe pain as the first presentation. Family history of AION was positive in 20% of cases [Table 1].

Visual acuity score, color vision score, perimetry parameters (MD and PSD), Rnfls in all six dimensions (Nasal, superonasal, superotemporal, temporal, inferotemporal, and inferonasal), VEP amplitudes and latencies on 1<sup>st</sup> and 15<sup>th</sup> minutes, and intraocular pressure (IOP) were equal in the baseline measurements between study groups (P-value > 0.05).

Visual acuity score in the LogMAR scale was significantly lower among the Fluoxetine group in the final evaluation compared to the baseline result (P-value: 0.008) and the final evaluation result of the placebo group (p-value: 0.002). No significant difference was noted in the visual acuity score of the placebo group before and after the trial (P-value: 0.132) [Table 2]. Also, no significant difference was noted on the color vision on the two groups before and after the trial (P-values > 0.05)[Table 2]. MD parameter in perimetry was statistically significant, near zero among the Fluoxetine group on the final evaluation compared to the preliminary assessment (P-value: 0.003) and in comparison to the final result of the placebo group (P-value: 0.002). No significant improvement was observed on MD parameter on the placebo group (P-value: 0.801. Also, no significant difference was noted on the PSD parameter in perimetry on the Fluoxetine group in the final assessment compared to the baseline assessment (P-value: 0.217) and in comparison to the final result of the placebo group (P-value: 0.093). The PSD parameter also showed no significant improvement in the placebo group (P-value: 0.076)[Table 2].

Latencies in 1<sup>st</sup> and 15<sup>th</sup> minutes in the assessment of VEP were both statistically significantly lower among the Fluoxetine group in the final assessment compared to their preliminary results (P-values: <0.001 and 0.038, respectively) and in comparison to the placebo group's final result (P-values: < 0.001 and 0.011, respectively). Results indicated no significant difference in optic nerve latencies on the placebo group before and after the trial (P-values: 0.121 and 0.160, respectively). Also, no significant difference was noted of the Amplitudes in 1<sup>st</sup> and 15<sup>th</sup> minutes of visual disability assessment by VEP in the Fluoxetin group before and after the clinical trial (P-values: 0.409 and 0.907, respectively) nor to the placebo group's final result (P-values: 0.292 and 0.749, respectively) [Table 2].

Results of Rnfl assessment in all six dimensions using OCT (optic coherence tomography) indicated no significant difference between the preliminary and final evaluation results on both groups (P-values > 0.05)[Table 2].No significant changes were noted in the IOP on both Fluoxetine and placebo groups in the baseline and final assessments (P-value > 0.05)[Table 2].

Any Fluoxetine group did not report adverse effects such as glaucoma or cataracts, but some participants reported mild drowsiness or insomnia and no follow-up loss.

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#### 4. Discussions

This study has found Fluoxetine as a safe complement therapeutic option in Anterior Ischemic Optic Neuropathy (AION) with promising improvements in their clinical prognosis.

Since there were no severe noted side effects of Fluoxetine, it seems to be a safe treatment strategy to complement corticosteroids. However, Fluoxetine has shown improvements in visual acuity, perimetry, and VEP latencies; there were no statistically significant changes in VEP amplitudes, color vision, or Rnfls. Overall, the improvements observed, especially in visual acuity, are enough evidence to support the desirable effect of Fluoxetine in the prognosis of patients with AION.

The equality of the baseline characteristics such as demographic parameters were ensured and confounding biases were addressed by covariance analysis.

Serum levels of BDNF as a neuroprotective factor increase in the case of pathologies involving the optic nerve [1]. Fluoxetine is a BDNF inducer involved in neuronal plasticity and neuroregeneration besides its high penetration into the retina [2][5][25]. Previous studies have shown improvements in visual cortex plasticity and re-establishment of axonal interactions by Fluoxetine, leading to desired clinical efficacy in Amblyopia and improvement in vision [3][4][14]. However, many interventional techniques are also there to improve neuroplasticity [26-29], pharmacologic interventions harbor lower possibility of side effects, while antidepressants are potential candidates [30]. This is not the first time medication with an alternative medical application exerts neuroprotective effects [31].

Since AION is an ischemic disease that involves neural tissue of the retina, therefore, AION is comparable to ischemic stroke in its pathophysiology. Fluoxetine has been reported as an effective treatment option for improving the post-stroke motor function by the acceleration of the neurogenesis and neuroplasticity [32][9-11][33]. Cognitive performance has improved, and three-year recurrence in stroke patients has decreased by Fluoxetine [34][7]. However, some studies have disagreed to the efficacy of Fluoxetine in stroke patients [31][35][36]. It should be considered that the therapeutic effectiveness of Fluoxetine widely depends on the early initiation of treatment upon the manifestation of symptoms [11]. Fluoxetine has also improved the clinical prognosis in patients with vascular dementia and multiple sclerosis as examples of other neurodegenerative disorders [12][13]. Further studies should be considered regarding the effectiveness of Fluoxetine in AION on the early initiation of treatment upon the initial manifestation of symptoms.

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## 5. Conclusion

Fluoxetine is a safe complement therapeutic option in Anterior Ischemic Optic Neuropathy (AION) with promising effects on clinical prognosis.

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## Compliance with ethical standards

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### *Authors' contributions*

- Mohammad Hossein Abbasi: Conceptualization, Investigation, Formal analysis, Writing - Original Draft, Writing - Review & Editing.
- Zahra Pourmousa: Investigation, Writing - Original Draft, Writing - Review & Editing.
- Farzan Vahedifard: Writing - Original Draft, Writing - Review & Editing.
- Shahnaz Rimaz: Conceptualization, Project administration, Methodology, Investigation, Writing - Review & Editing.
- Mostafa Soltan Sanjari: Conceptualization, Project administration, Methodology, Investigation, Writing - Review & Editing.

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The authors of this study mentioned no conflicting or competing interests in the subject matters of this article.

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### *Availability of data and materials*

The datasets generated during and analyzed during the current study are not available publicly because it is collected on the data repository of the eye research center of Rasool Akram hospital, which are intended to be reused in another study too but are available from the corresponding author on reasonable request.

### *Statement of ethical approval*

Ethics Committee approves this study of Vice Chancellor for Research & Technology, IUMS (code: IR.IUMS.FMD.REC.1397.093). This study was a registered Trial on 07/01/2019 with IRCT code

IRCT20181109041596N1. All patients and control subjects signed the informed consent. This study was performed under the ethical standards of the Declaration of Helsinki (2013) and its subsequent amendments.

### *Statement of informed consent*

Informed consent was obtained from all patients with clinical data reported in this article to participate in the study and assessments.

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